

PUBLIC PROTECTION & GENERAL GOVERNMENT

**Summary of Recommended Appropriations
By Agency**

DIVISION OF ALCOHOLIC BEVERAGE CONTROL

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
Special Revenue-Other	16,860,000	17,001,000	141,000	0.8%
Total for AGENCY SUMMARY:	16,860,000	17,001,000	141,000	0.8%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
All Other Funds:	123	132	9
TOTAL:	123	132	9

Agency Mission

The Division of Alcoholic Beverage Control (Division) regulates and controls the manufacture, sale and distribution of alcoholic beverages within the state. The Division also issues and renews licenses and permits to manufacturers, distributors, wholesalers and retailers and works with local law enforcement agencies to ensure compliance with the Alcoholic Beverage Control Law.

This agency is included in the State Operations appropriation bill.

Programmatic Highlights

The State Fiscal Year (SFY) 2012-13 Executive Budget includes the following:

- a \$141,000 net increase associated with the Division's statewide e-Licensing Project, which is designed to streamline and improve the processing of license applications. Six agencies

including the Division, the Department of State, the Department of Environmental Conservation, the Department of Agriculture and Markets, the Department of Education and the Office for Technology are involved in the first phase of this project.

Budget Detail

The Executive proposes an All Funds appropriation of \$17,001,000 reflecting a net increase of \$141,000 in State Operations funding from the SFY 2011-12 funding level.

A workforce of 132 full-time equivalent positions is recommended for the Division, reflecting an increase of nine positions from the SFY 2011-12 budget level and is related to the Division's e-Licensing Project.

State Operations

A \$141,000 proposed net increase in State Operations funding recommended reflects the following changes:

Proposed Increases

The Executive proposes:

- a \$489,000 increase in Special Revenue Funds in the Licensing and Wholesaler Services Program, reflecting a 9.58 percent increase over the current year funding level. This amount reflects new costs related to the statewide e-Licensing Project, which will streamline and improve the processing of license applications; and
- a \$231,000 increase in Special Revenue Funds is recommended for the Administration program, reflecting a 5.23 percent increase over the current year funding level.

Proposed Decreases

The Executive proposes a \$579,000 decrease in Special Revenue Funds for the Compliance program, reflecting a 7.89 percent reduction from the current funding level.

DEPARTMENT OF AUDIT AND CONTROL

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	157,826,000	157,370,000	(456,000)	-0.3%
Special Revenue-Other	18,508,000	18,628,000	120,000	0.6%
Total for Agency:	176,334,000	175,998,000	(336,000)	-0.2%
Total Contingency:	107,999,000	128,286,000	20,287,000	18.8%
Total for AGENCY SUMMARY:	284,333,000	304,284,000	19,951,000	7.0%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	1,337	1,384	47
All Other Funds:	1,179	1,230	51
TOTAL:	2,516	2,614	98

Agency Mission

The Department of Audit and Control (Department) is responsible for paying the state's bills and payrolls, verifying all financial transactions of the state, reviewing the financial and management practices of state agencies, supervising the fiscal affairs of local governments, investing state funds, issuing bonds and notes, and administering the retirement programs for the state and most local government employees. The Department has its main office in Albany and regional offices in New York City, Buffalo, Rochester, Syracuse, Binghamton, Glens Falls, Newburgh, and Hauppauge.

Programmatic Highlights

The State Fiscal Year (SFY) 2012-13 Executive Budget includes the following:

- a \$17,584,000 increase in appropriations to provide for additional audit staff; and
- a \$5,339,000 increase in Fiduciary Funds for additional staff, along with necessary technology the Retirement System.

Budget Detail

The Executive proposes an All Funds appropriation of \$304,284,000, representing an increase of \$19,951,000, or 7.0 percent, from the SFY 2011-12 funding level.

The Executive recommends a workforce of 2,614 full-time equivalent (FTE) positions reflecting an increase of 98 FTEs needed by the Department to perform audits related to the local property tax cap, Medicaid and public authorities, and additional staff for the Retirement System.

State Operations

The Executive recommends an overall State Operations appropriation of \$272,259,000.

General Fund State Operations appropriations total \$125,345,000 and reflect an overall decrease of \$456,000 from current year levels, reflecting the following actions:

- funding of \$42,297,000 for the State and Local Accountability Program, which reflects an increase of \$2,636,000 in funding from current year levels; and
- funding of \$33,249,000 for the State Operations Program, reflecting a decrease of \$3,092,000 in funding from current year levels to help fund additional staff for audit functions.

There are Special Revenue Fund appropriations in the amount of \$18,626,000 reflecting an increase of \$120,000 as follows:

- funding of \$4,502,000 for support of Office of the Special Deputy Comptroller for New York City, which reflects an increase of \$105,000 over the SFY 2011-12 funding level;
- funding of \$1,030,000 for Environmental Protection and Spill Compensation, which reflects an increase of \$12,000 over the SFY 2011-12 funding level; and

- funding of \$12,605,000 for support of the State Operations Program, which reflects an increase of \$3,000 in funding in the Abandoned Property Account over the SFY 2011-12 funding level to accommodate additional audits.

An Internal Service Fund appropriation of \$21,557,000 is proposed and reflects the following actions:

- funding of \$15,234,000 is proposed for the Chief Information Office Information Technology Centralized Services Account for shared technology services, a \$12,883,000 increase over SFY 2012-13;
- a new Internal Service Fund of \$2,000,000 is proposed for the State and Local Accountability Program, which reflects an increase of \$2,000,000 in funding over current year levels. This funding is needed to accommodate an increased work load associated with additional audit functions; and
- funding of \$1,933,000 for the Executive Direction Program, an increase of \$65,000 over the SFY 2011-12 funding level.

The Executive provides \$106,729,000 to fund the Office's fiduciary activities under the Retirement Services Program, reflecting an increase of \$5,339,000 over the SFY 2011-12 funding level.

Aid to Localities

The Executive recommends \$32,025,000 in General Fund funding for state reimbursements to cities, towns, or villages for special accidental benefits and for reimbursement to New York City for payments for special accidental death benefits to beneficiaries of first responders to the World Trade Center attack.

Article VII

The Executive recommends legislation that would increase assessments from public authorities to support auditing and oversight by the Office of the State Comptroller.

DIVISION OF THE BUDGET

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	29,054,000	28,562,000	(492,000)	-1.7%
Special Revenue-Other	22,931,000	21,431,000	(1,500,000)	-6.5%
Internal Service Fund	1,650,000	1,650,000	0	0.0%
Total for AGENCY SUMMARY:	53,635,000	51,643,000	(1,992,000)	-3.7%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	248	258	10
All Other Funds:	59	59	0
TOTAL:	307	317	10

Agency Mission

The Division of the Budget (DOB) prepares the Governor's proposed budget and executes the budget as enacted by the Legislature. DOB also serves as the Governor's primary advisor on fiscal matters including local government and public authority finances.

DOB is included in the State Operations appropriation bill.

Budget Detail

The Executive recommends All Funds appropriations totaling \$51,643,000, representing a net decrease in funding of \$1,992,000, or 3.71 percent, from SFY 2011-12 funding levels.

The Executive recommends 317 full-time equivalent (FTE) funded positions reflecting an increase of 10 FTE positions over the SFY 2011-12 staffing level. This increase is due to the establishment of a management function within DOB to assist the Governor with the functional reorganization of government and the oversight of agency performance.

State Operations

Proposed Increases

The Executive proposes a General Fund appropriation of \$26,788,000 for personal service and non personal service costs, reflecting an overall increase of \$13,000 over the current funding level for contractual services.

Proposed Decreases

The Executive proposal includes:

- a General Fund appropriation of \$274,000 to support membership dues in the Conference of Northeast Governors (\$81,000) and the National Governors Association (\$193,000). The funding reflects a \$505,000 reduction from the current funding level and includes the elimination of funding for the Council of State Governments; and
- an elimination of the Special Revenue fund support for the Cash Management Improvement Act Program, reflecting a reduction of \$1,500,000 from the current funding level.

DEPARTMENT OF CIVIL SERVICE

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	16,734,000	15,710,000	(1,024,000)	-6.1%
Special Revenue-Other	2,257,000	2,257,000	0	0.0%
Internal Service Fund	40,704,000	38,704,000	(2,000,000)	-4.9%
Total for AGENCY SUMMARY:	59,695,000	56,671,000	(3,024,000)	-5.1%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	195	187	(8)
All Other Funds:	221	221	0
TOTAL:	416	408	(8)

Agency Mission

The Department of Civil Service is charged with providing human resource management services to state and local governments.

Programmatic Highlights

The State Fiscal Year (SFY) 2012-13 Executive Budget proposes to merge the Office of Employee Relations and the Department of Civil Service into a new Department of Workforce Management. There would not be savings to the financial plan as a result of the merger.

Budget Detail

The Executive proposes an All Funds appropriation of \$56,671,000 a decrease of \$3,024,000, or 5.1 percent, from the SFY 2011-12 level. The Executive recommends funding support of 408 full-time equivalent positions, a decrease of eight positions through attrition.

State Operations

The Executive proposes an All Funds State Operations appropriation of \$56,671,000 a decrease of \$3,024,000, or 5 percent, from SFY 2011-12. The decrease is attributable to attrition within the agency, a 2.5 percent reduction in the agency's operating budget and an adjustment to better align appropriations with cash.

Article VII

The Executive recommends Article VII legislation that would:

- merge the Office of Employee Relations and the Department of Civil Service into a new Department of Workforce Management; and
- enable the Department of Civil Service to authorize the appointment of highly skilled information technology, professional, scientific, technical or other employees with specialized skills into the state workforce.

DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	2,424,785,000	2,524,358,000	99,573,000	4.1%
Special Revenue-Other	30,355,000	30,355,000	0	0.0%
Special Revenue-Federal	39,400,000	39,300,000	(100,000)	-0.3%
Enterprise	43,013,000	43,013,000	0	0.0%
Correctional Facilities Capital Improvement Fund	320,000,000	320,000,000	0	0.0%
Internal Service Fund	84,692,000	75,809,000	(8,883,000)	-10.5%
Total for AGENCY SUMMARY:	2,942,245,000	3,032,835,000	90,590,000	3.1%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	28,788	28,788	0
All Other Funds:	985	985	0
TOTAL:	29,773	29,773	0

Agency Mission

The Department of Corrections and Community Supervision (DOCCS) is responsible for the operation of the state's correctional facilities and the supervision of an under-custody population of approximately 55,000 inmates who have been sentenced to a term of incarceration which is greater than one year. DOCCS is also responsible for the reintegration of inmates into their communities and the supervision of offenders upon release.

Programmatic Highlights

The State Fiscal Year (SFY) 2012-13 Executive Budget includes the following:

- a resumption of corrections officer training classes, with seventeen scheduled to be held before the end of SFY 2012-13; and
- an additional parole officer training class.

Budget Detail

The Executive budget proposes an All Funds appropriation of \$3,032,835,000, an increase of \$90,590,000, or 3.1 percent, over SFY 2011-12 funding levels. The Executive proposes support for a workforce of 29,773 full-time equivalent (FTE) positions, representing no change from SFY 2011-12 staffing levels. These staffing numbers are predicated on the assumption that both correction officer and parole officer classes will resume in SFY 2012-13.

State Operations

The Executive proposes an All Funds State Operations appropriation of \$2,681,664,000, an increase of \$76,590,000, or 2.94 percent, over SFY 2011-12 funding levels. The General Fund will support a total of \$2,504,187,000, or 93 percent, of DOCCS' State Operations non-capital expenditures.

Proposed Increases

The Executive proposal includes:

- an increase of \$118,935,000 to provide for prior year personal service and other spending obligations that exceeded appropriation levels;
- an increase of \$14,830,000 to support performance related promotions and mandatory salary adjustments;
- an increase of \$12,390,000 to support the costs of seventeen new corrections officer training classes;
- an increase of \$4,468,000 to support increased costs related to rent and new Hepatitis C medications; and
- an increase \$1,401,000 to support one parole officer training classes.

Proposed Decreases

The Executive proposal includes:

- a decrease of \$40,000,000 related to the annualization of correctional facility closure savings;
- a decrease of \$16,230,000 related to savings related to inmate participation in the Medicaid program and other contractual service costs; and
- a decrease of \$10,221,000 related to Executive agreements with employee unions to provide for deficit reduction leave.

Aid to Localities

The Executive proposes an Aid to Localities appropriation of \$31,171,111, an increase of \$14,000,000, or 81.5 percent, over SFY 2011-12 funding levels.

Proposed Increases

The Executive proposal includes a new \$14,000,000 appropriation to provide for the state share of Medicaid costs that are incurred by DOCCS inmates when receiving care outside of correctional facilities.

Capital Projects

The Executive budget recommends a capital appropriation of \$320,000,000, unchanged from SFY 2011-12 funding levels.

COMMISSION OF CORRECTION

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	2,975,000	2,915,000	(60,000)	-2.0%
Total for AGENCY SUMMARY:	2,975,000	2,915,000	(60,000)	-2.0%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	29	29	0
TOTAL:	29	29	0

Agency Mission

The State Commission of Correction (SCOC) serves to promote a safe, secure, and stable correctional system and to provide for the accountability of corrections officials. The SCOC regulates and oversees the operation and management of state correctional facilities, county jails, New York City correctional facilities and locally-operated police department detention facilities. The commission's role also includes the oversight of secure detention facilities operated by the Office of Children and Family.

Budget Detail

The Executive budget recommends a General Fund State Operations appropriation of \$2,915,000, a decrease of \$60,000, or two percent from State Fiscal Year (SFY) 2011-12 funding levels. The Executive proposes support for a workforce of 29 full-time equivalent positions, unchanged from SFY 2011-12.

State Operations

The Executive proposes an All Funds State Operations appropriation of \$2,915,000, a decrease of \$60,000, or two percent from SFY 2011-12. These savings are associated with an anticipated relocation of the Commission's offices from leased to state-owned space.

DIVISION OF CRIMINAL JUSTICE SERVICES

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	164,769,000	164,229,000	(540,000)	-0.3%
Special Revenue-Other	51,260,000	46,442,000	(4,818,000)	-9.4%
Special Revenue-Federal	57,075,000	45,400,000	(11,675,000)	-20.5%
Total for AGENCY SUMMARY:	273,104,000	256,071,000	(17,033,000)	-6.2%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	482	520	38
All Other Funds:	107	72	(35)
TOTAL:	589	592	3

Agency Mission

The Division of Criminal Justice Services (DCJS) is the agency administering both state and federal funds that support programs designed to combat crime, drug abuse, and violence. DCJS maintains criminal history and statistical data for federal, state and local law enforcement agencies, identifies criminals through fingerprints, maintains the sex offender registry and provides training and management services to local law enforcement agencies.

Programmatic Highlights

The State Fiscal Year (SFY) 2012-13 Executive Budget includes a proposal to require all defendants convicted of any Penal Law misdemeanor and any felony defined in any New York State Law to submit a sample to the DNA Databank. Under current law, the collection of DNA is limited to those convicted of any felony and certain misdemeanors defined in the Penal Law. This expansion will result in the collection of approximately 46,000 additional DNA samples annually.

Budget Detail

The Executive Budget recommends an All Funds appropriation of \$256,071,000, a decrease of \$17,033,000, or 6.2 percent, from its SFY 2011-12 funding level. The Executive proposal includes support for a workforce of 592 full-time equivalent (FTE) positions, an increase of three FTE positions.

State Operations

The Executive proposes an All Funds State Operations appropriation of \$94,506,000, a decrease of \$1,634,000, or 1.7 percent, from SFY 2011-12 funding levels.

Proposed Increases

The Executive proposes:

- an increase of \$5,000,000 in Crime Identification and Technology Account funding to support improved record retention and information technology upgrades;
- an increase of \$1,416,000 related to the transfer of federally funded positions to the General Fund; and
- an increase of \$700,000 (\$1,400,000 when fully implemented) to support the expansion of the DNA Databank to include all Penal Law misdemeanors and any other felony defined under New York State Law.

Proposed Decreases

The Executive proposes:

- a reduction of \$5,350,000 to miscellaneous federal appropriations;
- a reduction of \$2,000,000 in Edward Byrne/JAG State Operations appropriations to reflect an anticipated reduction in the federal award;

- a reduction of \$1,100,000 related to savings associated with moving from rental property to state owned facilities; and
- a reduction of \$300,000 associated with sharing information technology services.

Aid to Localities

The Executive proposes an All Funds Aid to Localities appropriation of \$161,565,000, a decrease of \$15,399,000, or 8.7 percent, from SFY 2011-12 funding levels.

Proposed Increases

The Executive proposes:

- an increase of \$750,000 in Crime Identification and Technology Account funding to improve record retention and IT upgrades; and
- an increase of \$530,000 in General Fund support to provide payments to counties for costs associated with district attorney salary increases, which would be tied to judicial compensation that is scheduled to take effect on April 1, 2012.

Proposed Decreases

The Executive proposes:

- a reduction of \$5,375,000 in Edward Byrne/JAG Aid to Localities appropriations to reflect an anticipated reduction in the federal award;
- a reduction of \$3,600,000 related to the elimination of legislatively-directed Legal Services Assistance Account allocations;
- a reduction of \$2,700,000 in miscellaneous federal appropriations;
- a reduction of \$1,218,000 related to the elimination of legislatively-directed Criminal Justice Improvement Account appropriations;
- an elimination of \$1,000,000 in appropriations for the Center for Employment Opportunities;
- a reduction of \$600,000 in appropriations supporting Oneida County's Public Safety Answering Point; and
- a reduction of \$186,000 in appropriations supporting the New York State Defenders Association.

Article VII

The Executive recommends Article VII legislation that would:

- expand to all felonies and all Penal Law misdemeanors the list of offenses for which a DNA sample must be collected from a convicted defendant, and entered into the DNA Databank;
- repeal the requirement that the state maintain its own independent pistol and revolver ballistic identification database, the Combined Ballistic Identification System;
- provide flexibility in the options available to courts when sentencing an individual to a term of probation;
- establish a probation detainer warrant program to allow probation officers to issue warrants for the temporary detention (up to 48 hours) of alleged probation violators when a judge is not available;
- establish a criminal forfeiture mechanism at the time of sentencing requiring the forfeiture of property “derived from” or “involved in” a criminal offense;
- extend for one year the provision of law that allows a district attorney in New York City to retain a portion of funds recovered through settlements before the filing of an accusatory instrument, with the remaining funds being distributed equally between New York City and the state;
- amend the Sex Offender Management and Treatment Act to encourage participation in Strict and Intensive Supervision and Treatment, with non-compliance constituting a violation of the order of confinement;
- require that a sex offender facing civil commitment must remain in the custody of the Department of Corrections and Community Supervision until the maximum expiration date of their sentence, or until they are released to parole; and
- allow the respondent and witness in any civil commitment proceeding, other than a trial, to appear in court by means of video-conferencing.

STATE BOARD OF ELECTIONS

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	5,521,000	5,305,000	(216,000)	-3.9%
Special Revenue-Other	500,000	0	(500,000)	-100.0%
Special Revenue-Federal	7,500,000	1,000,000	(6,500,000)	-86.7%
Total for AGENCY SUMMARY:	13,521,000	6,305,000	(7,216,000)	-53.4%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	58	58	0
TOTAL:	58	58	0

Agency Mission

The State Board of Elections (Board) executes and enforces the Election Law and oversees the disclosure of campaign financing practices.

Budget Detail

The Executive recommends an All Funds appropriation of \$6,305,000, reflecting a net decrease of \$7,216,000, or 57.63 percent, from the State Fiscal Year (SFY) 2011-12 funding level, primarily related to the loss of federal funds.

The Executive recommends funding 58 full-time equivalent positions in SFY 2012-13, reflecting no change from the current fiscal year staffing level.

State Operations

The Executive recommends \$5,305,000 in State Operations funding, reflecting a net decrease of \$216,000 from the 2011-12 funding levels.

Proposed Increases

The Executive proposes a \$90,000 increase in General Fund funding is proposed to support additional costs involved in the 2013 presidential and statewide election cycle. This increase is needed to print voter registration forms and increase the number of polling clerks.

Proposed Decreases

The Executive proposes a \$306,000 decrease in funding to reflect savings associated with the anticipated move of the Board into state office building space (restacking).

Aid to Localities

The Executive recommends \$1,000,000 in federal appropriations, reflecting no change from the current funding level. This funding will support the alteration of poll sites to provide accessibility for disabled voters.

OFFICE OF EMPLOYEE RELATIONS

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	2,961,000	2,961,000	0	0.0%
Special Revenue-Other	121,000	121,000	0	0.0%
Internal Service Fund	3,710,000	4,810,000	1,100,000	29.6%
Total for AGENCY SUMMARY:	6,792,000	7,892,000	1,100,000	16.2%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	29	29	0
All Other Funds:	12	25	13
TOTAL:	41	54	13

Agency Mission

In accordance with the Public Employees' Fair Employment Act (the Taylor Law), the Office of Employee Relations represents the Governor in collective bargaining with public employee unions and directs the state's employee relations policies so that agencies and employees provide high quality, uninterrupted state government services.

Programmatic Highlights

The State Fiscal Year (SFY) 2012-13 Executive Budget proposes to merge the Office of Employee Relations with the Department of Civil Service into a new Department of Workforce Management. There would not be savings to the financial plan as a result of the merger.

Budget Detail

The Executive proposes an All Funds appropriation of \$7,892,000, an increase of \$1,100,000, or 16.2 percent, over the SFY 2011-12 level. The Executive recommends funding support of 54 full-time equivalent (FTE) positions, an increase of 13 FTE positions to support the Statewide Learning Management System.

State Operations

The Executive proposes an All Funds State Operations appropriation of \$7,892,000, an increase of \$1,100,000, or 16.2 percent, over SFY 2011-12. The increase is attributable to the new Statewide Learning Management System which will provide online and classroom training opportunities for state employees.

Article VII

The Executive recommends Article VII legislation that would merge the Office of Employee Relations with the Department of Civil Service into a new Department of Workforce Management.

EXECUTIVE CHAMBER

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	18,484,000	18,484,000	0	0.0%
Special Revenue-Other	90,000	0	(90,000)	-100.0%
Total for AGENCY SUMMARY:	18,574,000	18,484,000	(90,000)	-0.5%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	143	143	0
TOTAL:	143	143	0

Agency Mission

The Executive Chamber is the Office of the Governor and includes the immediate staff assisting the Governor. The principal office of the Governor is located in the State Capitol in Albany, while offices are also maintained in New York City and Washington, DC.

The Executive Chamber is included in the State Operations appropriation bill.

Budget Detail

The Executive proposes All Funds appropriations totaling \$18,484,000 to support the activities of the Office of the Governor (\$17,854,000) and the Office of the Lieutenant Governor (\$630,000). The requested appropriation reflects a net decrease of \$90,000, or 0.48 percent, in State Operations funding from the State Fiscal Year (SFY) 2011-12 funding level.

The Executive's recommendation for the Office of the Governor and the Office of the Lieutenant Governor reflects an overall workforce of 143 full-time equivalent (FTE) positions, representing no change from the current funding level. Recommended FTEs for the Office of the Governor is 136 and a workforce of seven FTE positions is recommended for Office of the Lieutenant Governor.

State Operations

Proposed Decreases

The Executive proposes a \$90,000 decrease reflecting the elimination of a Community Relations Account that is no longer in use.

FINANCIAL SERVICES, DEPARTMENT OF

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
Special Revenue-Other	554,996,823	552,196,823	(2,800,000)	-0.5%
Total for AGENCY SUMMARY:	554,996,823	552,196,823	(2,800,000)	-0.5%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
All Other Funds:	1,531	1,531	0
TOTAL:	1,531	1,531	0

Agency Mission

The Department of Financial Services (DFS) supports the regulatory and oversight functions of the Banking and Insurance Departments to make the state's oversight of financial services responsive to the current needs of the industry and its customers. DFS was consolidated as part of the State Fiscal Year (SFY) 2011-12 Budget and began its operations on October 3, 2011. The consolidation enabled the state to unify oversight functions of financial services and to more rapidly respond to changing market practices.

Budget Detail

The Executive recommends an All Fund appropriation of \$552,196,823, reflecting a \$2,800,000 decrease from the SFY 2011-12 funding levels. The Executive recommends funding support for 1,531 full-time equivalent positions, representing no change from SFY 2011-12.

State Operations

The Executive proposal includes an All Funds State Operations appropriation of \$326,630,823, a decrease of \$2,800,000 from the SFY 2011-12 levels.

Proposed Decreases

The Executive proposal includes the following reductions:

- a decrease of \$1,900,000 in sub-allocations to the Department of Health for implementation of the forge-proof pharmaceutical prescription program;
- a decrease of \$600,000 in sub-allocations to the Department of Health for services and expenses in the Center of Community Health;
- a decrease of \$100,000 in sub-allocations to the Division of Homeland Security for the Urban Search and Rescue Program;
- a decrease of \$75,000 in sub-allocations to the Division of Homeland Security and Emergency Preparedness for fire safety standards related to fire safe cigarettes;
- a decrease of \$75,000 in sub-allocations to the Division of Homeland Security and Emergency Preparedness for fire inspections and safety training programs; and
- a decrease of \$50,000 in sub-allocations to the Division of Criminal Justice Services for the Traffic and Criminal Software (TraCS) project.

Aid to Localities

The Executive proposes an All Funds Aid to Localities appropriation of \$225,566,000, representing no change from the SFY 2011-12 funding level.

Article VII

The Executive recommends Article VII legislation that would establish the New York Health Benefit Exchange, a public benefit corporation that will serve as a marketplace for the purchase and sale of qualified health plans in the State of New York, in accordance with the federal health care reform law (The Patient Protection and Affordable Care Act).

OFFICE OF GENERAL SERVICES

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	136,335,439	149,632,000	13,296,561	9.8%
Special Revenue-Other	21,591,000	22,064,000	473,000	2.2%
Special Revenue-Federal	8,230,000	8,230,000	0	0.0%
Enterprise	2,009,000	1,266,000	(743,000)	-37.0%
Capital Projects Fund	50,900,000	56,000,000	5,100,000	10.0%
Capital Projects Fund - Authority Bonds	33,100,000	26,000,000	(7,100,000)	-21.5%
Internal Service Fund	300,720,000	802,344,000	501,624,000	166.8%
Fiduciary	750,000	750,000	0	0.0%
Total for AGENCY SUMMARY:	553,635,439	1,066,286,000	512,650,561	92.6%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	790	800	10
All Other Funds:	525	529	4
TOTAL:	1,315	1,329	14

Agency Mission

The Office of General Services (OGS) supports the operation of state agencies by providing space planning and leasing; real property management and maintenance; centralized contracting for commodities, printing and equipment maintenance services; employee and visitor parking management; and interagency mail and courier assistance. OGS also provides a number of smaller agencies with various centralized administrative and information processing services.

Programmatic Highlights

The State Fiscal Year (SFY) 2012-13 Executive Budget includes the following:

- an enterprise shared services initiative, which would involve a centralization of services that are common across state agencies. The Executive proposal would centralize procurement, real estate management, and back office functions between state agencies; and
- a new \$500,000,000 appropriation related to information technology (IT) staff augmentation contracts. Under the Executive proposal, OGS would provide vendor management services to all agencies in order to coordinate bulk purchasing for IT staff augmentation contracts. There is no impact to the financial plan as a result of this appropriation, as the appropriations exist in other state agencies, but this new appropriation authority would allow the Executive to undertake a vendor management role.

Budget Detail

The Executive proposes an All Funds appropriation of \$1,066,286,000, an increase of \$512,650,561, or 92.6 percent, from the SFY 2011-12 level. The Executive recommends funding support for 1,329 full time equivalent (FTE) positions, a net increase of 14 FTE positions over the SFY 2011-12 level.

State Operations

The Executive proposes an All Funds State Operations appropriation of \$984,286,000, an increase of \$514,650,561, or 109.6 percent, from the SFY 2011-12 level.

Proposed Increases

The Executive proposes:

- an increase of \$500,000,000 in Internal Service Fund appropriations to provide vendor management to all state agencies related to IT staff augmentation contracts. Funds will continue to be appropriated within other agencies, but the new appropriation within OGS would allow OGS to facilitate centralized purchasing on such contracts;
- an increase of \$6,020,000 related to “restacking” state employees to maximize space in state-owned buildings and minimize leased space;
- an increase of \$5,519,000 related to the assumption of building operating costs by OGS. Previously, such buildings were operated by the Department of Transportation and the Department of Agriculture and Markets;

- an increase of \$4,720,000 related to strategic sourcing initiatives, including staff to compare purchase orders between agencies to get the best price on goods;
- an additional 33 FTEs supported by appropriations of \$3,400,000 to implement enterprise services initiatives;
- a new Business Services Center that would be supported with \$3,000,000 in appropriations to begin combining the back office functions of 29 agencies that all use the same time and attendance system;
- an increase of \$1,300,000 to support 23 FTEs to centralize all major state contracting services;
- an increase of \$1,000,000 to develop a centralized rate setting unit to determine how agencies will be charged for shared services;
- an increase of \$713,000 for two FTEs and other associated expenses for cooperation with the Joint Commission on Public Ethics' (JCOPE) "Project Sunlight" database; and
- an increase of \$112,000 to support two FTEs for a Statewide Fleet Management System to track the state's fleet of vehicles, gasoline cards, and insurance.

Proposed Decreases

The Executive proposes:

- a decrease of \$5,968,000 related to the attrition of 50 FTEs;
- net decreases totaling \$2,806,000 related to more closely aligning appropriations with cash;
- net decreases totaling \$1,359,000 related to fringe and indirect benefits; and
- a decrease of \$1,000,000 for strategic sourcing savings within OGS. Additional savings would accrue to other state agencies.

Capital Projects

The Executive proposes \$82,000,000 for capital projects, a net reduction of \$2,000,000 from SFY 2011-12. Specifically, the Executive proposes:

- a net increase of \$6,971,000 in appropriations for maintenance and improvement of real property facilities; and
- a decrease of \$8,791,000 in appropriations for design and construction supervision.

Article VII

The Executive recommends Article VII legislation that would modify procurement provisions contained in the State Finance Law and related statutes through the following actions:

- it would amend the definitions of “lowest price” and “best value” for statewide procurements;
- authorize OGS to procure goods and services through centralized contracts without a request by an agency and mandate that agencies procure services from a centralized contract;
- expand the ability of local governments and non-profit organizations to purchase from state contracts, exempt centralized contracts from pre-audit by the Office of the State Comptroller;
- expand the authority of OGS to purchase commodities and services through electronic bidding;
- repeal existing procurement laws relating to the procurement of printing and public documents;
- amend the procurement laws to direct OGS to assume responsibility for centralized printing contracts and allow printing to be procured on the basis of lowest cost or best value;
- increase the dollar thresholds above which procurements must be advertised in the procurement newsletter and must be procured through competitive bidding; and
- would make the Procurement Stewardship Act permanent.

DIVISION OF HOMELAND SECURITY AND EMERGENCY SERVICES

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	9,066,000	159,066,000	150,000,000	1654.5%
Special Revenue-Other	129,741,000	130,791,000	1,050,000	0.8%
Special Revenue-Federal	627,474,000	1,235,474,000	608,000,000	96.9%
Enterprise	50,000,000	0	(50,000,000)	-100.0%
Internal Service Fund	2,000,000	2,000,000	0	0.0%
Total for AGENCY SUMMARY:	818,281,000	1,527,331,000	709,050,000	86.7%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	52	51	(1)
All Other Funds:	375	391	16
TOTAL:	427	442	15

Agency Mission

The Division of Homeland Security and Emergency Services (DHSES) is responsible for the protection of the state's cyber security infrastructure, counter terrorism efforts, the coordination of emergency management activities, the training of emergency personnel, fire prevention activities, and the interoperability of emergency communications. DHSES is also responsible for developing and coordinating a comprehensive all-hazards strategy for disaster preparedness and response to acts of terrorism and natural disasters. DHSES maintains strong ties with federal security-related agencies including the United States Department of Homeland Security.

Programmatic Highlights

The State Fiscal Year (SFY) 2012-13 Executive Budget includes the following:

- a new \$150,000,000 appropriation to support the state share of the costs associated with disaster relief activities; and
- a \$30,000,000 increase in grants to counties for the development of interoperable communications networks, bringing total program funding to \$75,000,000 in SFY 2012-13.

Budget Detail

The Executive recommends an All Funds appropriation of \$1,527,331,000, representing an increase of \$709,050,000, or 86.7 percent, over SFY 2011-12 funding levels. The Executive proposes support for a workforce of 442 full-time equivalent (FTE) positions, an increase of 15 FTEs over SFY 2011-12 staffing levels.

The State Operations activities of DHSES rely significantly on revenue derived from an existing state surcharge on wireless devices. The Executive proposal includes Public Safety Communications Account appropriations totaling \$110,258,000 to support the following:

- grants for the development of interoperable public safety communications networks: \$75,000,000;
- administrative and other non-personal expenses: \$18,500,000;
- reimbursement of localities for improvements to Public Safety Answering Points: \$9,300,000; and
- personal service expenses: \$7,458,000.

State Operations

The Executive recommends an All Funds State Operations appropriation of \$64,280,000, a \$71,250,000, or 52.6 percent, decrease from SFY 2011-12 funding levels largely attributable to the loss of federal funds and the elimination of a dry appropriation for New York Alert.

Aid to Localities

The Executive recommends an All Funds Aid to Localities appropriation of \$1,463,051,000, a \$780,300,000, or 114.3 percent, increase from SFY 2011-12 funding levels.

Proposed Increases

The Executive proposal includes:

- an increase of \$600,000,000 in federal appropriation authority to allow the expenditure of the federal government's share of costs resulting from natural or man-made disasters;
- an increase of \$150,000,000 in disaster relief appropriations to support the state share of the cost associated with disaster relief activities; and
- an increase of \$30,000,000 for grants to counties for the development of interoperable communications networks, providing a total of \$75,000,000 in SFY 2012-13.

Article VII

The Executive recommends Article VII legislation that would:

- improve emergency responses under the existing Intrastate Mutual Aid Plan and would coordinate disaster response at the State and municipal level in a more efficient manner; and
- eliminate the Statewide Wireless Network Advisory Council.

INDIGENT LEGAL SERVICES, OFFICE OF

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
Special Revenue-Other	78,500,000	78,500,000	0	0.0%
Total for AGENCY SUMMARY:	78,500,000	78,500,000	0	0.0%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
All Other Funds:	10	10	0
TOTAL:	10	10	0

Agency Mission

The Office of Indigent Legal Services (Office) and its associated Indigent Legal Services Board, is responsible for the study, oversight, and improvement of the public criminal defense system in New York State. The Indigent Legal Services Board is responsible for the approval or modification of grant distribution proposals, advising the Office with regard to legal defense programs, and for reporting to the Governor, the Legislature and the Judiciary on an annual basis.

Budget Detail

The Executive Budget recommends an All Funds appropriation of \$78,500,000, representing no change from State Fiscal Year (SFY) 2011-12 funding levels. The Executive proposes support for a workforce of ten full-time equivalent positions, representing no change from SFY 2011-12.

State Operations

The Executive proposes an All Funds State Operations appropriation of \$1,500,000, representing no change from SFY 2011-12 funding levels.

Aid to Localities

The Executive proposal includes an All Funds Aid to Localities appropriation of \$77,000,000, representing no change from SFY 2011-12 funding levels. As in prior years these funds would support payments to counties and the City of New York for the provision of indigent legal representation.

OFFICE OF THE INSPECTOR GENERAL

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	5,524,000	6,660,000	1,136,000	20.6%
Special Revenue-Other	100,000	100,000	0	0.0%
Total for AGENCY SUMMARY:	5,624,000	6,760,000	1,136,000	20.2%

**ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS**

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	62	65	3
TOTAL:	62	65	3

Agency Mission

The Office of the Inspector General is responsible for investigating instances of fraud, abuse, criminal activity, and corruption in state agencies. Its mission is designed to ensure that state government maintains the highest standards of integrity and accountability.

The Office of the Inspector General is included in the State Operations appropriation bill.

Budget Detail

The Executive recommends an All Funds appropriation of \$6,760,000 reflecting a net increase of \$1,136,000 or 20.2 percent in State Operations funding over the State Fiscal Year (SFY) 2011-12 funding level.

A workforce of 65 full-time equivalent (FTE) funded positions is recommended reflecting an increase of three FTEs over the SFY 2011-12 staffing level.

State Operations

The Executive recommends a \$1,136,000 increase in General Fund to reflect the cost of full staffing, including annualization of current year staffing. This increase represents alignment of the agency's appropriations with six FTE positions that were transferred from the Department of Tax and Finance during the current year as well as planned hiring necessary to meet an increased caseload. In addition, this increase includes approximately \$100,000 for higher rent costs.

NEW YORK INTEREST ON LAWYERS ACCOUNT

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
Special Revenue-Other	46,889,000	46,841,000	(48,000)	-0.1%
Total for AGENCY SUMMARY:	46,889,000	46,841,000	(48,000)	-0.1%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
All Other Funds:	8	8	0
TOTAL:	8	8	0

Agency Mission

The Interest on Lawyer Account (IOLA) Fund was established in 1983 to finance civil legal services for low-income New Yorkers. IOLA revenues are derived from the interest accrued on trust accounts in which attorneys hold funds for their clients. This earned interest is pooled and a fifteen-member Board of Trustees allocates the funds to not-for-profit, tax-exempt entities who provide civil legal services to the indigent, elderly, disabled, and others.

Programmatic Highlights

The Judiciary's State Fiscal Year (SFY) 2012-13 budget, as submitted to the Governor, provides for the suballocation of \$15,000,000 to support grants to civil legal services providers.

Budget Detail

The Executive budget recommends an All Funds appropriation of \$46,841,000, a decrease of \$48,000 from SFY 2011-12 funding levels. The Executive proposes support for a workforce of eight full-time equivalent positions, representing no change from SFY 2011-12.

State Operations

The Executive proposes an All Funds State Operations appropriation of \$1,841,000, a decrease of \$48,000 to reflect savings from a decrease in state operating costs.

Aid to Localities

The Executive proposes an All Funds Aid to Localities appropriation of \$45,000,000, unchanged from SFY 2011-12 funding levels.

JUDICIAL COMMISSIONS

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	5,452,000	5,452,000	0	0.0%
Total for AGENCY SUMMARY:	5,452,000	5,452,000	0	0.0%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	49	49	0
TOTAL:	49	49	0

Agency Mission

New York State's three judicial commissions serve to maintain the integrity of the court system. The Commission on Judicial Nomination and the Judicial Screening Committees examine potential nominees for high-level judicial appointments by the Governor. The Commission on Judicial Conduct investigates and acts upon allegations of judicial misconduct.

Budget Detail

The Executive Budget recommends an All Funds appropriation of \$5,452,000, representing no change from State Fiscal Year (SFY) 2011-12 funding levels. The Executive proposal maintains support for a workforce of 49 full-time equivalent positions.

State Operations

The Executive proposes an All Funds State Operations appropriation of \$5,452,000, representing no change from SFY 2011-12 funding levels.

DEPARTMENT OF LAW

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	101,381,000	98,846,000	(2,535,000)	-2.5%
Special Revenue-Other	72,819,000	80,225,000	7,406,000	10.2%
Special Revenue-Federal	34,820,000	36,207,000	1,387,000	4.0%
Total for AGENCY SUMMARY:	209,020,000	215,278,000	6,258,000	3.0%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	1,041	1,066	25
All Other Funds:	706	732	26
TOTAL:	1,747	1,798	51

Agency Mission

The Department of Law (Department) provides legal counsel to the state and represents the state in court proceedings. The Department protects state agencies and citizens through the investigation of organized and white-collar crime as well as Medicaid fraud. It also protects consumers from fraudulent business practices and brings civil and/or criminal actions against violators of anti-trust laws. The Department defends the public interest through protecting charitable donors and beneficiaries, enforcing laws that prohibit discrimination, and pursuing actions against violators of environmental law.

Budget Detail

The Executive Budget recommends an All Funds State Operations appropriation of \$215,278,000, an increase of \$6,258,000, or three percent, over SFY 2011-12 funding levels. The Executive proposes support for a workforce of 1,798, an increase of 51 over SFY 2011-12.

State Operations

The Executive Budget recommends an All Funds State Operations appropriation of \$215,278,000, an increase of \$6,258,000, or three percent over SFY 2011-12 funding levels.

DIVISION OF MILITARY AND NAVAL AFFAIRS

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	24,444,000	24,282,000	(162,000)	-0.7%
Special Revenue-Other	8,741,000	9,577,000	836,000	9.6%
Special Revenue-Federal	42,780,000	42,780,000	0	0.0%
Capital Projects Fund	14,200,000	24,200,000	10,000,000	70.4%
Federal Capital Projects Fund	25,000,000	78,200,000	53,200,000	212.8%
Total for AGENCY SUMMARY:	115,165,000	179,039,000	63,874,000	55.5%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	162	162	0
All Other Funds:	271	271	0
TOTAL:	433	433	0

Agency Mission

The Division of Military and Naval Affairs' (DMNA) primary mission is to maintain a well-trained military force ready to respond to civil emergencies, natural disasters, and threats to national security.

Budget Detail

The Executive Budget recommends an All Funds appropriation of \$179,039,000, an increase of \$63,874,000, or 55.5 percent, from State Fiscal Year (SFY) 2011-12 funding levels. The Executive

proposes support for a workforce of 433 full-time equivalent positions, representing no change from SFY 2011-12.

State Operations

The Executive proposes an All Funds State Operations appropriation of \$75,739,000, an increase of \$424,000 over SFY 2011-12 funding levels.

Aid to Localities

The Executive proposes an All Funds Aid to Localities appropriation of \$900,000, an increase of \$250,000, or 38.5 percent, from SFY 2011-12 funding levels.

Capital Projects

The Executive proposal includes capital appropriations of \$102,400,000, an increase of \$63,200,000, or 161 percent, over SFY 2011-12 funding levels. Federal funds will support \$53,200,000 of the proposed increase to provide for the rehabilitation of the National Guard armory in Harlem, New York while the state will commit a new \$10,000,000 for the same purpose.

Article VII

The Executive recommends Article VII legislation that would eliminate the Temporary Advisory Committee on the Restoration and Display of New York State's Military Battle Flags.

OFFICE FOR THE PREVENTION OF DOMESTIC VIOLENCE

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	2,050,000	2,210,000	160,000	7.8%
Special Revenue-Other	70,000	41,000	(29,000)	-41.4%
Special Revenue-Federal	1,600,000	1,600,000	0	0.0%
Internal Service Fund	890,000	890,000	0	0.0%
Total for AGENCY SUMMARY:	4,610,000	4,741,000	131,000	2.8%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	14	16	2
All Other Funds:	12	10	(2)
TOTAL:	26	26	0

Agency Mission

The Office for the Prevention of Domestic Violence (OPDV) develops statewide policies to protect victims of domestic violence and provides domestic violence training and information to judges, attorneys, law enforcement officers, and social services and health care providers.

The OPDV is included in the Public Protection and General Government appropriation bill.

Budget Detail

The Executive proposes an All Funds appropriation of \$4,741,000, a net increase of \$131,000 or 2.8 percent, from the State Fiscal Year (SFY) 2011-12 budget level. The Executive recommends funding support of 26 full-time equivalent positions, which is unchanged from SFY 2011-12.

State Operations

The Executive proposes an All Funds State Operations appropriation of \$3,556,000, a net increase of \$131,000, or 3.8 percent, from the SFY 2011-12 budget level.

Proposed Increases

The Executive proposes an increase of \$160,000 to provide General Fund support for two staff positions formerly funded from sources that are no longer available.

Proposed Decreases

The Executive proposes a decrease of \$29,000 in various Special Revenue accounts for the provision of domestic violence training, research, technical assistance, and evaluation activities related to the loss of grant funding from other sources.

Aid to Localities

The Executive proposes an All Funds Aid to Localities appropriation of \$1,185,000, unchanged from the SFY 2011-12 budget level. However, a change made in appropriation language allows \$170,000 in funds previously earmarked for the Capital District domestic violence law clinic and the Western New York family violence clinic to be made available to other programs that provide similar services pursuant to a competitive process.

PUBLIC EMPLOYMENT RELATIONS BOARD

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	3,571,000	3,409,000	(162,000)	-4.5%
Special Revenue-Other	575,000	575,000	0	0.0%
Total for AGENCY SUMMARY:	4,146,000	3,984,000	(162,000)	-3.9%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	33	33	0
TOTAL:	33	33	0

Agency Mission

The Public Employment Relations Board (PERB) resolves major labor disputes between public employers and employees. PERB provides mediation, fact finding, and arbitration in contract disputes for approximately 4,760 negotiating units in New York State. In addition, PERB settles questions of union representation, conducts hearings on charges of improper practices, designates Management/Confidential positions, and acts as a clearinghouse for information on wages, benefits, and employment practices. PERB is also responsible for administering the State Employment Relations Act, and PERB's labor management oversight activities include private sector employers that are not provided services by the National Labor Relations Board, the Federal Mediation and Conciliation Service, or the National Mediation Board.

Budget Detail

The Executive proposes an All Funds appropriation of \$3,984,000, a decrease of \$162,000 or 3.9 percent, below the State Fiscal Year (SFY) 2011-12 level. The Executive recommends funding support of 33 full-time equivalent positions, which represents no change from SFY 2011-12.

State Operations

Proposed Decreases

The Executive proposes a reduction of \$162,000 related to a continued across-the-board reduction in General Fund agency operating budgets.

PUBLIC ETHICS, JOINT COMMISSION ON

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	3,878,000	4,100,000	222,000	5.7%
Total for AGENCY SUMMARY:	3,878,000	4,100,000	222,000	5.7%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	45	45	0
TOTAL:	45	45	0

Agency Mission

The Joint Commission on Public Ethics (JCOPE) was established in 2011 by the Public Integrity Reform Act. JCOPE succeeds the Commission on Public Integrity and assumes responsibility for administering and enforcing the state's ethics and lobbying statutes. JCOPE's mission is to foster public trust and confidence in state government by ensuring compliance with financial disclosure and ethical standards.

JCOPE is comprised of 14 appointed members and has jurisdiction over 190,000 statewide officials, state officers and employees, and candidates for statewide elected office and political party chairs. The responsibility of this new agency is broadened to include not only executive branch employees and elected officials, but also some jurisdiction in relation to legislative employees.

Budget Detail

The Executive recommends \$4,100,000 in All Funds appropriation for JCOPE. This reflects an increase of \$222,000 in General Fund appropriation over the State Fiscal Year (SFY) 2011-12 funding level for the Commission on Public Integrity, which JCOPE formally replaced on December 12, 2011.

The Executive recommends a workforce of 45 full-time equivalent (FTE) positions which reflects no change from the SFY 2011-12 staffing level of the Commission on Public Integrity when it was fully operational.

State Operations

Proposed Increases

The Executive recommends a \$222,000 increase in State Operations General Funds appropriation for JCOPE. This funding level is expected to accommodate start-up costs related to the expanded role of this new agency, including full staffing and per diem payments for the Commissioners. This funding will enable JCOPE to fill 13 FTE positions, bringing the new workforce to 45 FTEs.

DIVISION OF STATE POLICE

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	560,442,000	557,932,000	(2,510,000)	-0.4%
Special Revenue-Other	120,550,000	120,831,400	281,400	0.2%
Special Revenue-Federal	7,335,000	8,335,000	1,000,000	13.6%
Capital Projects Fund	5,500,000	0	(5,500,000)	-100.0%
Capital Projects Fund - Authority Bonds	6,000,000	6,000,000	0	0.0%
Total for AGENCY SUMMARY:	699,827,000	693,098,400	(6,728,600)	-1.0%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	4,803	4,803	0
All Other Funds:	417	417	0
TOTAL:	5,220	5,220	0

Agency Mission

The Division of State Police (DSP) is responsible for protecting the life and property of New Yorkers by patrolling the state's highways and performing specialty and investigative police services throughout the state. DSP is also part of several counter-terrorism initiatives.

Programmatic Highlights

The State Fiscal Year (SFY) 2012-13 Executive Budget includes the following:

- an increase to support the recruitment and training of new members with two classes scheduled for SFY 2012-13; and
- a repeal of the state's existing independent pistol and revolver ballistic identification database.

Budget Detail

The Executive Budget recommends an All Funds appropriation of \$693,098,400, a decrease of \$6,728,600, or one percent from SFY 2011-12 funding levels. The Executive proposes support for a workforce of 5,220 full-time equivalent (FTE) positions, representing no change from SFY 2011-12.

State Operations

The Executive proposes an All Funds State Operations appropriation of \$687,098,400, a decrease of \$1,228,600 from SFY 2011-12 funding levels.

The Executive proposes repeal of the requirement that the state maintain its existing independent pistol and revolver ballistic identification database, the Combined Ballistic Identification System (CoBIS). Instead, the Executive would invest \$200,000 in savings associated with the repeal of CoBIS to support local and state law enforcement use of the National Integrated Ballistics Information Network.

Proposed Increases

The Executive proposal includes:

- an increase of \$13,500,000 to support the resumption of training classes for the purpose of hiring 230 new recruits, which would maintain troop member strength at 4,458 officers; and
- an increase of \$3,790,000 to support the purchase and lease of new patrol vehicles.

Proposed Decreases

The Executive proposal includes a decrease in support for the Division of \$2,580,000, attributable to savings achieved through the consolidation of call centers and other efficiencies.

Capital Projects

The Executive proposes an All Funds Capital appropriation of \$6,000,000, a decrease of \$5,500,000, or 48 percent, from SFY 2011-12 funding levels, attributable to a reduction to amounts available for the preservation of facilities.

Article VII

The Executive recommends Article VII legislation that would:

- prohibit a court from issuing a subpoena to compel a state police officer to appear at the initial court appearance related to a traffic infraction and require that a date for trial be set after the initial appearance; and
- repeal the requirement that the state maintain its independent pistol and revolver ballistic identification database.

STATEWIDE FINANCIAL SYSTEM

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
Special Revenue-Other	45,000,000	55,000,000	10,000,000	22.2%
Total for AGENCY SUMMARY:	45,000,000	55,000,000	10,000,000	22.2%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
All Other Funds:	136	145	9
TOTAL:	136	145	9

Agency Mission

The Statewide Financial System Project is responsible for developing and maintaining a single statewide financial system for use by all agencies. The first operational phase of the new system is to be launched in April 2012 with 63 agencies expected to be fully utilizing the system.

Budget Detail

The Executive recommends a \$55,000,000 All Funds appropriation, reflecting an increase of \$10,000,000 or 22.2 percent over the State Fiscal Year 2011-12 level. The funding represents the first budget under which the new system will be fully operational for approximately 62 agencies.

The Executive Budget recommends a workforce of 145 full-time equivalent (FTE) positions. This is an increase of nine FTEs from the 2011-12 budget, and reflects additional staff needed to support agencies during their transition to the new system.

State Operations

Proposed Increases

The Executive recommends a \$10,000,000 increase in Special Revenue funding to reflect new ongoing operating costs associated with the transformation from project status to an operating system. The additional costs are partially offset by a \$4,160,000 reduction in equipment and consulting costs.

The increases will support the following:

- \$6,131,000 in software costs for a total expenditure of \$7,130,000;
- \$4,518,000 in Debt Service for a total expenditure of \$22,439,000;
- \$1,675,000 in Personal Service expenditure; and
- \$1,400,000 for the OSC Data Center for a total expenditure of \$4,100,000.

The Executive's Financial Plan provides that \$5,000,000 of this increase is considered a contingency amount for the project in order to mitigate any unforeseen circumstances arising during the initial months of system operation.

OFFICE FOR TECHNOLOGY

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	27,502,000	27,502,000	0	0.0%
Special Revenue-Other	3,445,000	3,445,000	0	0.0%
Internal Service Fund	403,165,000	403,165,000	0	0.0%
Total for AGENCY SUMMARY:	434,112,000	434,112,000	0	0.0%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	144	160	16
All Other Funds:	427	437	10
TOTAL:	571	597	26

Agency Mission

The Office for Technology (OFT) is responsible for developing the state's technology resources and policies. The mission of OFT is to provide centralized technology services, shape technology policy and coordinate statewide technology initiatives to improve the efficiency of New York State government.

Programmatic Highlights

The State Fiscal Year (SFY) 2012-13 Executive Budget includes the following:

- an information technology (IT) transformation effort that would include consolidating IT operations, including data centers, and creating IT agency clusters to facilitate application development; and
- a proposal to rename the OFT as the “Office of Information Technology Services.”

Budget Detail

The Executive proposes an All Funds appropriation of \$434,112,000, which represents no change from the SFY 2010-11 funding level. The Executive recommends funding support for 597 FTE positions, an increase of 26 FTE positions over the SFY 2011-12 level, attributable to a multi-agency e-licensing effort.

State Operations

The Executive proposes an All Funds State Operations appropriation of \$434,112,000, which represents no change from the SFY 2010-11 funding level.

Article VII

The Executive proposal would change the name of the OFT to the Office of Information Technology Services.

DIVISION OF VETERANS' AFFAIRS

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	14,482,000	14,232,000	(250,000)	-1.7%
Special Revenue-Federal	2,466,000	2,466,000	0	0.0%
Total for AGENCY SUMMARY:	16,948,000	16,698,000	(250,000)	-1.5%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
General Fund:	83	86	3
All Other Funds:	8	8	0
TOTAL:	91	94	3

Agency Mission

The Division of Veterans' Affairs (DVA) assists, counsels, and advocates for veterans, military personnel and their families. The DVA coordinates with state agencies and the federal government on programs affecting veterans. The DVA serves more than 1,100,000 New Yorkers who are veterans, including their dependents and those on active duty. The DVA's central office is located in Albany and it has regional offices in New York City and Buffalo.

Budget Detail

The Executive recommends an All Funds appropriation of \$16,698,000 for the Division, representing a net decrease of \$250,000 from the State Fiscal Year (SFY) 2011-12 funding level.

A workforce of 94 full-time equivalent (FTE) positions is recommended for the DVA reflecting an increase of three FTE positions over the SFY 2011-12 staffing level and reflects planned hiring in local field offices.

State Operations

The Executive maintains funding of \$1,966,000 in Special Revenue Funds-Federal, which reflects no change from the current year funding level.

The Executive proposes \$5,806,000 in General Fund appropriations, which reflects a net decrease of \$500,000, or 7.93 percent, below SFY 2011-12 funding levels.

The Executive provides \$5,322,000 in General Funds to maintain payments for services and expenses related to the Veteran's Counseling Services Program.

Proposed Decreases

The Executive proposal includes \$484,000 in General Fund support for the Administration Program, reflecting a decrease of \$500,000 below SFY 2011-12 funding levels.

Aid to Localities

The Executive preserves local funding for veteran services and provides a General Fund appropriation of \$8,926,000, reflecting a net increase of \$250,000, or 3.06 percent, above SFY 2011-12. Funding is maintained for the following programs at SFY 2011-12 funding levels:

- \$599,000 is provided in funding to maintain payments for gold star annuity benefits to eligible families of military personnel and \$200,000 is provided for payment of supplemental burial benefits to eligible families of military personnel; and
- \$500,000 is provided in funding to maintain payments for services and expenses related to Veteran's counseling and outreach.

Proposed Increases

The Executive recommends \$6,200,000 to fund the Blind Veteran annuity program reflecting a \$400,000 increase over the current funding level. The increase reflects a 3.6 percent cost of living adjustment in funding needed to accommodate additional payments of annuities to blind veterans and their eligible surviving spouses.

Proposed Decreases

Local assistance appropriations are reduced by \$150,000, reflecting the elimination of legislative adds. General Fund support for payment of aid to county and city veterans'

service agencies as well as for services and expenses of the Monroe County Veteran Outreach Center is maintained at SFY 2011-12 levels.

OFFICE OF VICTIM SERVICES

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
Special Revenue-Other	37,790,000	37,790,000	0	0.0%
Special Revenue-Federal	39,454,000	38,613,000	(841,000)	-2.1%
Total for AGENCY SUMMARY:	77,244,000	76,403,000	(841,000)	-1.1%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
All Other Funds:	75	78	3
TOTAL:	75	78	3

Agency Mission

The Office of Victim Services (OVS) awards compensatory damages to victims of crime, focusing mainly on those whose victimization was violent in nature, and also serves as the state's advocate for crime victim's rights, needs, and interests. Damages are awarded to victims for loss of earnings, essential property loss, and costs associated with medical treatment or counseling services. The OVS currently administers approximately 200 contracts with other state agencies, local governments and not-for-profit agencies to provide direct services to crime victims and witnesses.

Programmatic Highlights

The State Fiscal Year (SFY) 2012-13 Executive Budget provides for an additional three full-time equivalent (FTE) positions for the purpose of the expediting of claims processing and the reduction of caseloads currently experienced by staff at OVS.

Budget Detail

The Executive Budget recommends an All Funds appropriation of \$76,403,000, a decrease of \$841,000, or 1.1 percent, from SFY 2011-12 funding levels. The Executive proposes support for a workforce of 78 FTE positions, an increase of three FTE positions over SFY 2011-12 staffing levels.

State Operations

The Executive proposes an All Funds State Operations appropriation of \$10,283,000, an increase of \$59,000 from SFY 2011-12 funding levels.

Aid to Localities

The Executive proposes an All Funds Aid to Localities appropriation of \$66,120,000, a decrease of \$900,000, or 1.3 percent, from SFY 2011-12 funding levels. This reduction is largely attributable to a reduction in federal grant support.

WORKERS' COMPENSATION BOARD

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
Special Revenue-Other	204,749,000	196,801,000	(7,948,000)	-3.9%
Total for AGENCY SUMMARY:	204,749,000	196,801,000	(7,948,000)	-3.9%

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2011-12	Requested 2012-13	Change
All Other Funds:	1,380	1,371	(9)
TOTAL:	1,380	1,371	(9)

Agency Mission

The Workers' Compensation Board (Board) is responsible for safeguarding the well-being of New York's labor force. The Board reviews claims for workers' compensation benefits, reports of injuries filed and medical reports from physicians and other health care providers. The Board also investigates fraudulent claims and assists in resolving disputed claims.

Budget Detail

The Executive proposes an All Funds appropriation of \$196,801,000, a decrease of \$7,948,000 or 3.9 percent, over the State Fiscal Year (SFY) 2011-12 level. The Executive recommends funding support of 1,371 full-time equivalent (FTE) positions, a decrease of nine positions through attrition.

State Operations

Proposed Increases

The Executive proposes an increase of \$6,204,000 for data warehouse and case management redesign. The Executive proposal includes an additional 11 FTEs for this purpose.

Proposed Decreases

The Executive proposes:

- a reduction of \$10,492,000 attributed to the elimination of non-recurring costs related to the Board's management of failed group self-insured trusts;
- a reduction of \$3,000,000 attributed to the alignment of cash and appropriation levels; and
- a reduction of \$660,000 attributed to attrition.

GENERAL STATE CHARGES

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	2,891,510,000	2,572,936,000	(318,574,000)	-11.0%
Fiduciary	101,000,000	150,250,000	49,250,000	48.8%
Total for AGENCY SUMMARY:	2,992,510,000	2,723,186,000	(269,324,000)	-9.0%

Agency Mission

General State Charges are primarily the costs of providing fringe benefits to state employees. These benefits are authorized in collective bargaining agreements and various statutes. The General State Charges budget also includes miscellaneous fixed costs, such as litigation settlements and taxes on state-owned lands.

Programmatic Highlights

The State Fiscal Year (SFY) 2012-13 Executive Budget includes the following:

- the creation of a Tier VI retirement benefit for newly-hired state and local government employees; and
- a savings of \$30,000,000 due to a \$100,000,000 pre-payment toward pension costs.

Additionally, the Executive includes \$26,500,000 in savings from increasing Federal Medicare Part D reimbursements through the conversion of the retiree drug coverage program from the current retiree drug subsidy to an Employer Group Waiver Plan. The Executive can make this change administratively.

Budget Detail

State Operations

The Executive proposes a SFY 2012-13 appropriation of \$2,723,186,000, a decrease of \$269,324,000 or nine percent, below the SFY 2011-12 level.

Proposed Increases

The Executive proposes:

- an increase of \$22,647,000 for Social Security costs related to increases in the salary base;
- an increase of \$11,211,000 for Workers' Compensation claims incurred by state employees for on-the-job injuries;
- an increase of \$9,174,000 for taxes on state-owned lands, attributed to re-evaluations by the Office of Real Property Services; and
- an increase of \$7,850,000 for the City of Albany related to a payment-in-lieu-of-taxes (PILOT) for the Empire State Plaza.

Proposed Decreases

The Executive proposes:

- a decrease in fringe benefit offset revenue of \$150,016,000 related to increased fringe benefit rates;
- a net reduction of \$90,821,000 for pensions, reflecting a contribution rate of 11.5 percent for the New York State and Local Employees' Retirement System and 19.5 percent for the New York State and Local Police and Fire Retirement System. The net reduction is achieved through the non-recurrence of an extra \$100,000,000 payment in March 2012 that will generate \$30,000,000 in savings in SFY 2012-13. Additionally, there is a reconciliation of SFY 2010-11 costs through lower than expected salaries;
- a reduction of \$36,145,000 related to health insurance, which represents an increase of 2.6 percent in premiums offset by reduced state costs pursuant to enacted collective bargaining agreements;

- a reduction of \$20,000,000 in Court of Claims costs due to higher than anticipated costs in SFY 2011-12 related to Watson v. New York. The case involved state liability for vehicular accident cause by an Environmental Conservation officer; and
- a reduction of \$4,239,000 in other fringe benefits attributed to lower costs associated with vision and dental coverage.

Article VII

The Executive recommends Article VII legislation that would:

- create a Tier VI retirement benefit. Under this proposal, an employee would have a choice between two options upon employment:
 - the Defined Benefit plan would include: an increase to the employees' contribution to the pension system from three percent to four, five, or six percent depending on salary; employees vesting after 12 years instead of 10; an increase in the retirement age to 65 years or, according to special plans, upon 20 or 25 years of service; and decrease the pension multiplier from two percent to 1.67 percent for each year credited. In addition, member contributions would vary according to market conditions under a risk sharing plan.
 - the Defined Contribution plan would include: a minimum employer contribution of four percent; a three percent employer match when the employee makes a contribution; and no required employee contribution.
 - once an employee chooses a retirement plan, the choice is irrevocable.
- include employees and retirees of public authorities in the provisions of current law that require state employees and retirees to contribute toward the cost of Medicare Part B premiums. This proposal would generate \$10,500,000 in savings; and
- modify the schedule of payments-in-lieu-of taxes (PILOT) payments made to the City of Albany. Under the proposal, an additional \$7,850,000 payment would be made to the city on SFY 2012-13, and a payment scheduled for 2033 would be reduced by the same amount.

MISCELLANEOUS: PUBLIC PROTECTION & GENERAL GOVERNMENT

	Adjusted Appropriation 2011-12	Executive Request 2012-13	Change	Percent Change
AGENCY SUMMARY				
General Fund	3,450,093,300	3,443,006,300	(7,087,000)	-0.2%
Special Revenue-Other	10,317,000	1,031,000	(9,286,000)	-90.0%
Special Revenue-Federal	1,050,000,000	1,000,000,000	(50,000,000)	-4.8%
Fiduciary	222,400,000	222,400,000	0	0.0%
Emergency	179,132,000	300,000,000	120,868,000	67.5%
Total for AGENCY SUMMARY:	4,911,942,300	4,966,437,300	54,495,000	1.1%

Budget Detail

State Operations

Collective Bargaining Agreements

The Executive recommends an appropriation of \$25,038,000, a reduction of \$7,210,000, or 22.4 percent, from SFY 2011-12 levels. The appropriation supports benefits and programs provided for in contract agreements with Civil Service Employees Association and Management/Confidential. Separate legislation would support contracts that have not had a pay-bill enacted.

Public Security and Emergency Response

The Executive recommends an appropriation of \$200,000,000 for the purpose of preventing, deterring, or responding to acts of terrorism, disasters, or other emergencies. This appropriation includes the consolidation of SFY 2011-12 appropriations totaling \$169,000,000.

Article VII

The Executive recommends Article VII legislation that would:

- accelerate up to \$28,000,000 in Aid and Incentives for Municipalities (AIM) funding to the City of Rochester beginning in SFY 2013-14; and

- authorize transfers, temporary loans and amendments to the miscellaneous capital and debt provisions including bond caps necessary to implement the 2012-13 Executive Budget.