

## ENVIRONMENTAL RESTORATION PROJECTS (BROWNFIELDS)

**Objective:** Provides State financial assistance to municipalities for the investigation and/or remediation of properties which are either contaminated or suspected of being contaminated.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** <http://www.dec.state.ny.us/>

**NYS Object Code:** 71210

**Year Established:** 1996

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Article 56

**Regulation:** 6 NYCRR 375; 6 NYCRR 597

**Program Contact:**

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**Eligibility:** Counties, Cities, Towns, Villages, School Districts, Public Authorities, Public Benefit Corporations, Supervisory Districts, District Corporations, Improvement Districts, and Indian Nations or Tribes recognized by the State or the US with a reservation within New York State. Requirements: (1) municipality must own the property that is the subject of the Brownfields application by the time the State Assistance Contract is executed; (2) the municipality is not responsible for the hazardous substances at the site; (3) the municipality has not, and will not undertake any indemnification obligation respecting a party responsible under the law for the remediation of the property; (4) the property is not a Class 1 or 2 site listed on the NYS Registry of Inactive Hazardous Waste Disposal Sites; and (5) the project must satisfy the criteria of ECL 56-0505.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State requirements.

**Action Required to Receive Aid:** Application for aid required. Applications are considered on a first-come, first-served basis.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided as a reimbursement.

**Type of Aid:** Project grant

**Formula:** NA

**Matching Requirement:** State 75%; Local 25%

**Maintenance of Effort:** NA

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	10,000,000	NA
SFY 01-02	NA	25,000,000	NA
SFY 02-03	NA	75,000,000	NA

**Amounts Disbursed:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	6,419,134	NA
SFY 01-02	NA	3,400,441	NA

## HAZARDOUS WASTE SITE REMEDIATION PROJECTS (TITLE 3)

**Objective:** Provides aid for the development and implementation of inactive hazardous waste site remediation projects.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** <http://www.dec.state.ny.us/>

**NYS Object Code:** 63909

**Year Established:** 1986

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Article 52, Sections 52-0105, 52-0107, 52-0301 and 52-0303, and Environmental Quality Bond Act

**Regulation:** 6 NYCRR Part 375

**Program Contact:**

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NYS Department of Environmental  
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**Eligibility:** Counties, Cities, Towns, Villages, School Districts, Public Authorities and Indian Tribes within New York State.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State requirements.

**Action Required to Receive Aid:** Competitive application for aid required. Applicant required to have signed an order on consent before obtaining State assistance contract.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided as a reimbursement.

**Type of Aid:** Project Grant

**Formula:** NA

**Matching Requirement:** State 75%, Local 25%

**Maintenance of Effort:** NA

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	NA
SFY 01-02	NA	NA	NA
SFY 02-03	NA	NA	NA

**Amounts Disbursed:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	11,403,330
SFY 01-02	NA	NA	22,442,750

## HUDSON RIVER ESTUARY PROGRAM ENVIRONMENTAL PROTECTION FUND

**Objective:** Assists in implementing Governor George E. Pataki's Hudson River Action Plan by addressing priority problems affecting the health of the Hudson River estuary.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** NA

**NYS Object Code:** NA

**Year Established:** 1999

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Article II, Title 3

**Regulation:** NA

**Program Contact:**

Fran Dunwell  
Hudson River Estuary Program  
NYS Department of Environmental  
Conservation  
21 S. Putt Corners Road  
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**Eligibility:** Municipalities and non-for-profit corporations.

**Type of Program and Special Restrictions:** Projects must be located within geographic boundaries of the Hudson River Estuarine District and associated shore lands.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided partially as an advance and partially as a reimbursement.

**Type of Aid:** Project Grant

**Formula:** NA

**Matching Requirement:** State assistance may fund up to 75% of the approved project costs; matching share to be provided by the applicant is 25% of approved project costs.

**Maintenance of Effort:** NA

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	1,100,000
SFY 01-02	NA	NA	NA
SFY 02-03	NA	NA	2,200,000

**Amounts Disbursed:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	170,000
SFY 01-02	NA	NA	NA

## LANDFILL CLOSURE STATE ASSISTANCE TITLE 5 LANDFILL CLOSURE

**Objective:** Assists eligible municipalities in meeting the costs of properly closing municipal landfills.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** NA

**NYS Object Code:** 63803

**Year Established:** 1990

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Article 54, Title 5 and Article 56, Title 4

**Regulation:** 6 NYCRR Subpart 360-9

**Program Contact:**

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**Eligibility:** State Governments, Counties, Cities, Towns, Villages, Public Authorities and Native American Tribes residing within New York State.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State requirements.

**Action Required to Receive Aid:** Application for aid required.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided as a reimbursement.

**Type of Aid:** Grants up to \$2 million

**Formula:** NA

**Matching Requirement:** Populations of 3,500 or more: State 50%, Local 50%; All others: State 90%, Local 10%

**Maintenance of Effort:** NA

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	1,500,000	6,500,000
SFY 01-02	NA	2,000,000	NA
SFY 02-03	NA	NA	NA

**Amounts Disbursed:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	17,962	1,073,461
SFY 01-02	NA	NA	NA

## MUNICIPAL RECYCLING GRANTS PROGRAM (1972 EQBA)

**Objective:** Supports acquisition of municipal recycling equipment and facilities.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** NA

**NYS Object Code:** 63803<sup>1</sup>

**Year Established:** 1972

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law 51-0905

**Regulation:** NA

**Program Contact:**

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**Eligibility:** Counties, Cities, Towns, Villages and Public Authorities.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to State mandated requirements.

**Action Required to Receive Aid:** Non-competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided as a reimbursement.

**Type of Aid:** Project Grant

**Formula:** NA

**Matching Requirement:** State 50%, Local 50%

**Maintenance of Effort:** Municipality must continue to operate equipment/facility if purchased with State funds.

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	NA
SFY 01-02	NA	NA	NA
SFY 02-03	NA	3,387,000	NA

**Amounts Disbursed:\***

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	401,500	NA
SFY 01-02	NA	2,611,969	NA

\*Disbursements reflect total amount disbursed that SFY appropriation, to date.

<sup>1</sup>Includes DEC capital funds and local assistance funds, and acquisition of low technology equipment.

## MUNICIPAL WASTE REDUCTION & RECYCLING PROGRAM/HOUSEHOLD HAZARDOUS WASTE STATE ASSISTANCE PROGRAM (EPF TITLE 7)

**Objective:** Assists eligible municipalities in meeting the costs of implementing waste reduction, recycling and household hazardous waste collection programs. Also assists with funding recycling coordinators.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** NA

**NYS Object Code:** 71210

**Year Established:** 1993

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Article 54, Title 7 and 56-0405

**Regulation:** 6 NYCRR Part 369 (MWRR Program); 6 NYCRR Subpart 373-4.6 (HHW SAP)

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**Eligibility:** Counties, Cities, Towns, Villages, Public Authorities, Local Public Benefit Corporations, School Districts, Supervisory Districts, Improvement Districts and Native American Tribes residing within New York State.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State requirements.

**Action Required to Receive Aid:** Non-competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided as a reimbursement.

**Type of Aid:** Project grants up to \$2,000,000.

**Formula:** NA

**Matching Requirement:** Fifty percent matching grants up to \$2,000,000.

**Maintenance of Effort:** Municipality must continue to operate equipment and facility if purchased with State funds.

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	5,000,000	6,225,000
SFY 01-02	NA	13,000,000	NA
SFY 02-03	NA	14,500,000	10,255,000

**Amounts Disbursed:\***

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	1,361,356	3,409,902
SFY 01-02	NA	2,825,585	3,659,375

\*Disbursements reflect total amount disbursed that SFY appropriation, to date.

## NONPOINT SOURCE IMPLEMENTATION PROGRAM SUPPORT

**Objective:** Assists in implementing nonpoint source management programs.

**Administering Agency:** NYS Department of Environmental Conservation; US Environmental Protection Agency

**Specific Program URL:** NA

**NYS Object Code:** NA

**Year Established:** 1988

**Catalog of Federal Domestic Assistance No.:** 66.460

**Legal Authority:**

**Law:** US Clean Water Act, Title III, Section 319(h)

**Regulation:** 40 CFR Parts 31 and 35 Subpart A

**Program Contact:**

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**Eligibility:** State as defined by Federal law.

**Type of Program and Special Restrictions:** Mandated, required by both State and Federal law or regulations (state); optional, without any State or Federal mandated requirements (locals). Funds must be used based upon the requirements cited above. Associated water management/administration costs are limited to ten percent of the grant. Tasks to carry out nonpoint projects and programs include support of state and local actions to abate nonpoint sources of pollution including non-regulatory or regulatory programs for enforcement, technical assistance, financial assistance, education, training, technology transfer and demonstration projects to abate or prevent nonpoint source pollution that produce early demonstrable water quality results.

**Action Required to Receive Aid:** Both non-competitive application for aid (State) and competitive application for aid required (local pass-through).

**Description of Aid:**

**Flow of Funds:** Federal to State and Federal to State to Local. Award is made in advance of expenditures but monies are drawn down on a reimbursement basis.

**Type of Aid:** Ongoing program grant (State) and competitive project of a limited duration (Locals).

**Formula:** NA

**Matching Requirement:** Nonfederal match of project or program costs is required.

**Maintenance of Effort:** At or above average of nonpoint source activities in FY 1985 and 1986; NYS is approximately \$400,000.

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	3,400,000	NA	NA
SFY 01-02	3,400,000	NA	NA
SFY 02-03	3,400,000	NA	NA

**Amounts Disbursed:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	2,200,000	NA	NA
SFY 01-02	2,200,000	NA	NA

**NON-POINT SOURCE POLLUTION  
ABATEMENT AND CONTROL  
(ENVIRONMENTAL PROTECTION FUND AND  
CLEAN WATER/CLEAN AIR BOND ACT)**

**Objective:** Assists in implementing non-point source management programs (non-agricultural projects.)

**Administering Agency:** NYS Department of Environmental Conservation. For agricultural non-point source projects see NYS Department of Agriculture and Markets

**Specific Program URL:** NA

**NYS Object Code:** NA

**Year Established:** 1989

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Article 17-14

**Regulation:** NA

**Program Contact:**

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**Eligibility:** All municipal entities.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State requirements. Projects must comply with the intent of the Environmental Conservation Law, Article 17-14.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided partially as an advance and partially as a reimbursement.

**Type of Aid:** Project grant of limited duration.

**Formula:** NA

**Matching Requirement:** 50%

**Maintenance of Effort:** NA

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	1,200,000	2,000,000
SFY 01-02	NA	318,000	NA
SFY 02-03	NA	350,000	4,900,000

**Amounts Disbursed:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	NA
SFY 01-02	NA	NA	NA

## NON-TOXIC PEST MANAGEMENT TRAINING AND STRUCTURAL REPAIRS

**Objective:** Provides financial assistance to municipalities and not-for-profit corporations for training in non-toxic pest management and for repairs to structures to prohibit pest infestations.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** NA

**NYS Object Code:** 55000

**Year Established:** 1999

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** 1999 State Budget

**Regulation:** NA

**Program Contact:**

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Environmental Program Specialist 2  
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**Eligibility:** Counties, Cities, Towns, Villages, School Districts, Local Public Authorities, Local Public Benefit Corporations (NFPC), Indian Tribes in NYS and Not-for-Profit Corporations. Under the not-for-profit law, the NFPCs must have a certificate from the NYS Department of State. Municipalities must pass a resolution.

**Type of Program and Special Restrictions:** Optional, without any State or Federal mandated requirements.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided partially as an advance and partially as a reimbursement.

**Type of Aid:** Limited duration - SFY 2000, unless reappropriated SFY 2001.

**Formula:** NA

**Matching Requirement:** NA

**Maintenance of Effort:** NA

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	400,000	250,000
SFY 01-02	NA	NA	NA
SFY 02-03	NA	NA	NA

**Amounts Disbursed:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	NA
SFY 01-02	NA	249,000	198,000

## URBAN AND COMMUNITY FORESTRY GRANT PROGRAM

**Objective:** Assists in implementing urban forestry education projects, Urban Forestry Management Plan, and tree plantings.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** NA

**NYS Object Code:** NA

**Year Established:** 1995

**Catalog of Federal Domestic Assistance No.:** 10.664 - Cooperative Forestry Assistance

**Legal Authority:**

**Law:** NY Environmental Conservation Law, Article 53: Urban Forestry; PL 95-313 Federal Forestry Cooperative Assistance Act; and NYS Budget Bill, Article VII, Environmental Protection Fund, Urban Forestry Grant Program

**Regulation:** NA

**Program Contact:**

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Urban Forestry Coordinator  
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**Eligibility:** Cities, Towns, Villages, School Districts and Private Non-Profit Groups.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State and Federal requirements.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** Federal to State to Local (Pass-through).

**Type of Aid:** Project grant

**Formula:** NA

**Matching Requirement:** Federal 50%; State 50%

**Maintenance of Effort:** NA

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	150,000
SFY 01-02	58,000	NA	NA
SFY 02-03	61,000	NA	150,000

**Amounts Disbursed:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	NA	NA	NA
SFY 01-02	58,000	NA	NA

## WATER QUALITY MANAGEMENT PLANNING PROGRAM SUPPORT

**Objective:** Assists in carrying out water quality and watershed management planning.

**Administering Agency:** NYS Department of Environmental Conservation; US Environmental Protection Agency

**Specific Program URL:** NA

**NYS Object Code:** NA

**Year Established:** 1982

**Catalog of Federal Domestic Assistance No.:** 66.454

**Legal Authority:**

**Law:** US Clean Water Act, Sections 205j and 604b, as amended, PL 97-117, Water Quality Act of 1987, PL 100-4

**Regulation:** 40 CFR Parts 31 and 35, Subpart A

**Program Contact:**

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**Eligibility:** State Water Quality Management Agencies.

**Type of Program and Special Restrictions:** Mandated, required by both State and Federal law or regulations (state); optional, without any State or Federal mandated requirements (locals). States are required to allocate at least 40 percent of funds awarded to eligible Regional Public Comprehensive Planning Agencies and Interstate Organizations. Funds must be used based upon the requirements cited above. Initiatives include administering both the point source and nonpoint source elements of the State's water program; developing and administering the State's sewage sludge disposal and pretreatment programs and implementation of the State's nonpoint source program. Tasks include permit development and review, compliance review and inspection, enforcement, water quality planning and standards, monitoring, facility personnel training, and associated program regulation development.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** Federal to State; Federal to State to Local (40% pass-through portion). Award is made in advance of expenditures but monies are drawn down on a reimbursement basis.

**Type of Aid:** Non-competitive ongoing program grant (State) and competitive pass-through to locals for projects of a limited duration.

**Formula:** Each fiscal year the Administrator shall reserve under Section 604g an amount not to exceed one percent of the amount allotted and available for obligation for construction grants or \$100,000, whichever is greater.

**Matching Requirement:** Non-Federal match of at least 25% of project or program cost is required.

**Maintenance of Effort:** NA

**Amounts Appropriated:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	600,000	NA	NA
SFY 01-02	600,000	NA	NA
SFY 02-03	600,000	NA	NA

**Amounts Disbursed:**

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 00-01	420,000	NA	NA
SFY 01-02	420,000	NA	NA

## WATERSHED PROTECTION PROJECTS

**Objective:** Supports prevention of erosion, flood water and sediment damage in watersheds of the rivers and streams of the State and furthers conservation, development, utilization and disposal of water.

**Administering Agency:** NYS Department of Environmental Conservation

**Specific Program URL:** NA

**NYS Object Code:** NA

**Year Established:** 1972

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY County Law, Sections 223 and 299-x

**Regulation:** NA

**Program Contact:**

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**Eligibility:** Counties. Watershed must equal 250,000 acres and be sponsored by county or counties. Project must be approved by Commissioner of Environmental Conservation.

**Type of Program and Special Restrictions:** Optional, without any State or Federal mandated requirements.

**Action Required to Receive Aid:** Competitive application for aid required.

**Description of Aid:**

**Flow of Funds:** State to Local. Monies are provided as a reimbursement.

**Type of Aid:** Project Grant

**Formula:** NA

**Matching Requirement:** State 50%, Local 50%

**Maintenance of Effort:** NA

**Amounts Appropriated:** There have not been any appropriations since 1987.

**Amounts Disbursed:** There have not been recent disbursements.