

CLEAN VESSEL ACT LOCAL INFORMATION & EDUCATION PROGRAM (CVA I&E)

Objective: Provides reimbursement for eligible costs of providing information and education efforts in a localized area promoting the availability and benefits of vessel pumpout and dump stations receiving sewage waste from marine recreational vessels.

Administering Agency: NYS Environmental Facilities Corporation (EFC), under contract with NYS Department of Environmental Conservation. Federal grants funds from US Department of Interior

Specific Program URL: www.nysefc.org/home/index.asp?page=21

NYS Object Code: 71210

Year Established: 1992

Catalog of Federal Domestic Assistance No.: 15.616 - Clean Vessel Act

Legal Authority:

Law: Clean Vessel Act of 1992, PL 102-587

Regulation: 50 CFR Part 85

Program Contact:

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E-Mail: info@nysefc.org

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages and Public Authorities.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Applicants must provide for all required permits. Cannot match grant funds with another Federal source of funding.

Action Required to Receive Aid: Application approval based on availability of funds and scope of project. Grants received as a reimbursement process.

Description of Aid:

Flow of Funds: Federal to State, State to Local - State is then reimbursed 75% by Federal funds. Federal to State to Local (Pass-through) - Monies are provided as a reimbursement.

Type of Aid: Ongoing through FFY 09 as Federal funding is secured.

Formula: 75% of eligible program expenses up to \$5,000 maximum reimbursement.

Matching Requirement: State - 75% maximum, Local 25%+

Maintenance of Effort: Yes, must pay for project totally and demonstrate payment to receive reimbursement.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	600,000	NA
SFY 05-06	NA	600,000	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	462,000	NA
SFY 05-06	NA	247,000	NA

*Based on payments processed during SFY. Does not include funding commitments not yet disbursed.

Note: Amounts reflect total program and not specific to the CVA I&E segment.

CLEAN VESSEL ASSISTANCE OPERATION & MAINTENANCE GRANT PROGRAM (CVAP O&M)

Objective: Provides annual reimbursement for cost of eligible maintenance and operation costs associated with the continuous operation of existing CVAP approved/funded pumpout and dump stations, receiving sewage waste from marine recreational vessels.

Administering Agency: NYS Environmental Facilities Corporation (EFC), under contract with NYS Department of Environmental Conservation. Federal grants funds from US Department of Interior

Specific Program URL: <http://www.nysefc.org/home/index.asp?page=21>

NYS Object Code: 71210

Year Established: 1992 (2006)

Catalog of Federal Domestic Assistance No.: 15.616 - Clean Vessel Act

Legal Authority:

Law: Clean Vessel Act of 1992, PL 102-587

Regulation: 50 CFR Part 85

Program Contact:

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Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, Public Authorities, Private Non-Profit Groups and Private/Marina Owners.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements as per the original funding agreement. New owners/operators may be required to execute an Assignment and Assumption Agreement. Applicants must provide for all required permits. Cannot match grant funds with another Federal source of funding.

Action Required to Receive Aid: Approval based on availability of funds and submittal of a Letter of Intent by the required date. Funding will be awarded on a first-come, first-served basis, during the allowed time period. Grants received as a reimbursement process.

Description of Aid:

Flow of Funds: Federal to State, State to Local - State is then reimbursed 75% by Federal funds. Federal to State to Local (Pass-through) - Monies are provided as a reimbursement.

Type of Aid: Ongoing through FFY 09 as Federal funding is secured.

Formula: 75% of eligible program expenses up to \$3,000 for pumpout boats and \$2,000 for other facilities.

Matching Requirement: State - 75% maximum, Local 25%+

Maintenance of Effort: Yes, must pay requested costs totally and demonstrate payment to receive reimbursement.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	600,000	NA
SFY 05-06	NA	600,000	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	462,000	NA
SFY 05-06	NA	247,000	NA

*Based on payments processed during SFY. Does not include funding commitments not yet disbursed. Amounts are for the total CVAP and not specific to the O&M program. No funding has been disbursed for the O&M program as of September 1, 2006.

CLEAN VESSEL ASSISTANCE PROGRAM (CVAP)

Objective: Provides reimbursement for eligible costs of constructing or renovating pumpout and dump stations receiving sewage waste from marine recreational vessels.

Administering Agency: NYS Environmental Facilities Corporation (EFC), under contract with NYS Department of Environmental Conservation. Federal grants funds from US Department of Interior

Specific Program URL: www.nysefc.org/home/index.asp?page=21

NYS Object Code: 71210

Year Established: 1992

Catalog of Federal Domestic Assistance No.: 15.616 - Clean Vessel Act

Legal Authority:

Law: Clean Vessel Act of 1992, PL 102-587

Regulation: 50 CFR Part 85

Program Contact:

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Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, Public Authorities, Private Non-Profit Groups and Private/Marina Owners.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Cannot match grant funds with another Federal source of funding. Applicants must have all required permits.

Action Required to Receive Aid: Application approval based on availability of funds and CVA State Plan. Grants received as a reimbursement process.

Description of Aid:

Flow of Funds: Federal to State, State to Local - State is then reimbursed 75% by Federal funds. Federal to State to Local (Pass-through) - Monies are provided as a reimbursement.

Type of Aid: Ongoing through FFY 09 as Federal funding is secured.

Formula: 75% of eligible program expenses up to \$35,000 maximum reimbursement.

Matching Requirement: State - 75% maximum, Local 25%+

Maintenance of Effort: Yes, must pay for project totally and demonstrate payment to receive reimbursement.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	600,000	NA
SFY 05-06	NA	600,000	NA
SFY 06-07	NA	NA	NA

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	462,000	NA
SFY 05-06	NA	247,000	NA

*Based on payments processed during SFY. Does not include funding commitments not yet disbursed. Amounts are for the total CVAP and not specific to the CVAP construction program.

FINANCIAL ASSISTANCE TO BUSINESS PROGRAM (FAB PROGRAM)

Objective: Provides direct financial assistance to NYS businesses and municipalities to help them comply with air and water quality standards.

Administering Agency: NYS Environmental Facilities Corporation (EFC)

Specific Program URL: www.nysefc.org/home/index.asp?page=22

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: ECL 56-0303 and 56-0611

Regulation: 21 NYCRR 2606

Program Contact:

Laurie A. Allen/Lynn Catizone
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E-Mail: allen@nysefc.org, catizone@nysefc.org

Fiscal Contact:

NA

Eligibility: Financial assistance for pollution prevention and control projects are available through three separate programs: FAB Water Program, FAB Air, and the Environmental Farm Assistance and Resource Management Program (EFARM). Eligibility criteria varies with each program.

Type of Program and Special Restrictions: Grants. Restrictions differ according to specific program.

Action Required to Receive Aid: EFC publishes program descriptions in the [State Register](#) and [Environmental Notice Bulletin](#). Applicants must meet the published criteria to qualify for aid.

Description of Aid:

Flow of Funds: Varies with each program.

Type of Aid: Project grant.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Yes

Amounts Appropriated: Between SFY 97-98 and SFY 99-00 a total of \$30 million was appropriated for the FAB Air programs and \$30 million was appropriated for FAB Water programs.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	NA	1,443,930
SFY 05-06	NA	NA	4,701,705

NEW YORK STATE CLEAN WATER STATE REVOLVING FUND (CWSRF) FOR WATER POLLUTION CONTROL

Objective: The Clean Water State Revolving Fund (CWSRF) was established to help finance facilities that protect, maintain or improve water quality. The CWSRF provides interest-free short-term and low interest rate long term financing to recipients to finance planning, design, and construction of eligible water quality projects. Recipients may be municipal or non-municipal. Municipalities are eligible for Section 212 (Wastewater Treatment projects), Section 319 (Nonpoint Source projects), and Section 320 (Estuary Conservation and Management Plans and Projects). Non-municipal recipients are limited to Section 319 and 320 project financing.

Administering Agency: NYS Environmental Facilities Corporation (EFC)

Specific Program URL: www.nysefc.org

NYS Object Code: NA

Year Established: 1989

Catalog of Federal Domestic Assistance No.: 66.458

Legal Authority:

Law: NY Laws of 1989 - Chapter 565, NY Laws of 1992 - Chapter 55, NY Laws of 1992 - Chapter 645, NY Laws of 1995 - Chapters 230 and 231, and NY Laws of 1996 - Chapter 447

Regulation: 6 NYCRR Part 649; 21 NYCRR Part 2602

Program Contact:

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E-Mail: info@nysefc.org

Fiscal Contact:

James Gebhardt
Chief Financial Officer
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625 Broadway
Albany, NY 12207-2997
(518) 402-6985; 1-800-882-9721 (within NYS)
E-Mail: info@nysefc.org

Eligibility: Municipalities would include Counties, Cities, Towns, Villages, District Corporations, County and Town Improvement Districts, Indian Reservations wholly within New York State and eligible Public Benefit Corporations and Public Authorities, and any Agency of New York State which is empowered to construct and operate an eligible project. In addition, financing can be provided to non-municipal projects for land acquisition for water quality protection allowed under Sections 319 (Nonpoint Source projects) and 320 (Federal Estuary Plan projects) of the Clean Water Act.

Type of Program and Special Restrictions: Projects must comply with Federal and State requirements. Limited to eligible activities associated with the protection or improvement of water quality, i.e., construction of wastewater treatment facilities, non-point source projects and estuary plan development or implementation.

Action Required to Receive Aid: Submission of a Technical, Planning or Engineering Report and a complete application for financing. Must be listed in the annual Intended Use Plan which contains a prioritized list of projects from borrowers expected to apply for CWSRF financing.

Description of Aid:

Flow of Funds: State to Local. Monies are disbursed as costs are incurred.

Type of Aid: Project Financing or Debt Purchase. This program provides low interest financing, rather than grants-in-aid. Funds are made available from Federal and State sources. Debt repayments and interest earnings provide a perpetual source of funding for future projects.

Formula: Funds are distributed on a priority basis with consideration for water quality benefit, public health and community size. Communities facing financial hardship may be eligible for reduced interest financing.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State Funds</u>
SFY 04-05	144,464,000	28,893,000
SFY 05-06	146,280,000	29,602,000
SFY 06-07	148,000,000	29,600,000

**NEW YORK STATE CLEAN WATER STATE REVOLVING
FUND (CWSRF) FOR WATER POLLUTION CONTROL (cont.)**

Loans Made:

SFY 04-05 – 1,136,495,729 (long term financing); 93,687,557 (short term financing)
SFY 05-06 – 369,611,557 (long term financing); 13,911,380 (short term financing)

Comments: This is a program of low interest rate financing with a maximum repayment term of up to 30 years depending on the period of probable usefulness. For more information, call the program contact.

NEW YORK STATE COMMUNITY ASSISTANCE PROGRAM

(Technical Assistance Program)

Objective: Provides direct technical and administrative assistance to local governments to enable small communities with limited financial resources to implement less costly solutions to their wastewater collection and treatment problems. Project costs are reduced by assisting communities to complete tasks themselves which are traditionally contracted out.

Administering Agency: NYS Environmental Facilities Corporation (EFC)

Specific Program URL: www.nysefc.org

NYS Object Code: NA

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

James Stearns, P.E.
Community Assistance Program
NYS Environmental Facilities Corporation
625 Broadway
Albany, NY 12207-2997
(518) 402-7396; 1-800-882-9721 (within NYS)
E-Mail: info@nysefc.org

Fiscal Contact:

NA

Eligibility: Towns and Villages. Assistance is for projects which are candidates for funding by the Clean Water State Revolving Fund (CWSRF).

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: EFC provides technical assistance as staff time is available to small communities which are willing to complete project tasks themselves.

Description of Aid:

Technical and administrative assistance only, but projects are often funded through EFC's Clean Water State Revolving Fund (CWSRF) low-interest financing program.

Comments: For more information about the types of services provided, please call the program contact.

NEW YORK STATE DRINKING WATER STATE REVOLVING FUND (DWSRF)

Objective: Provides financial assistance to public and private community water systems, and non-profit non-community water systems for the capital costs of drinking water facilities and infrastructure improvements. These projects include investments to upgrade or replace infrastructure, projects to achieve or maintain compliance with federal or state health standards, and provide the public with safe affordable drinking water. Examples of such projects are rehabilitation or development of new drinking water sources to replace contaminated supplies; installation or upgrade of treatment facilities to ensure compliance with state and federal drinking water standards or treatment/performance criteria; installation or upgrade of storage facilities, including finished water reservoirs, to prevent microbiological contamination or to provide adequate delivery pressures; installation or replacement of transmission and distribution mains to prevent contamination caused by leaks or breaks; funding and/or construction to promote the consolidation of water supply services, particularly in circumstances where individual homes or water systems generally have an inadequate quantity of water, the water supply is contaminated, or the system is unable to maintain adequate compliance for financial or managerial reasons; and the purchase of a portion of another system if the purchase is part of a consolidation plan to bring the system(s) into compliance. Any of the above listed project types which are publicly owned and which were previously financed after July 1, 1993, may be eligible for refinancing.

Administering Agency: NYS Environmental Facilities Corporation, NYS Department of Health

Specific Program URL: www.nysefc.org and www.health.state.ny.us "A-Z Index", "Drinking Water."

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: 66.468

Legal Authority:

Law: NY Laws of 1996, Chapter 413

Regulation: 21 NYCRR Part 2604, 10 NYCRR Part 53

Program Contact:

Charles Amento
Bureau of Water Supply Protection
NYS Department of Health
547 River Street
Flanigan Square, Room 400
Troy, NY 12180
(518) 402-7650; 1-800-458-1158 Ext. 27676 (within NYS)
E-Mail: CJA01@health.state.ny.us

Fiscal Contact:

James Gebhardt
Chief Financial Officer
NYS Environmental Facilities Corporation
625 Broadway
Albany, NY 12207-2997
(518) 402-6985; 1-800-882-9721 (within NYS)
E-Mail: info@nysefc.org

Eligibility: Drinking water systems that are eligible for project funding are community water systems, both public and privately owned, and non-profit, non-community water systems. Projects submitted by systems lacking technical, managerial or financial capacity or significant non-compliers are not eligible for funding unless the proposed project will ensure capacity or compliance. Also, projects whose primary purpose is fire protection or growth/development, dams and reservoirs, or acquisition of land not integral to an eligible project are not eligible.

Type of Program and Special Restrictions: Projects financed by the DWSRF must satisfy applicable federal and State requirements. Limited to eligible activities associated with the construction of drinking water facilities and infrastructure improvements.

Action Required to Receive Aid: Must be listed on the annual Intended Use Plan which contains a prioritized ranking of projects, scored on health-based criteria, expected to apply for a DWSRF loan. Projects eligible to receive financing during a funding cycle must have a priority ranking score above the funding line based on financial capacity of the program. Submission of complete loan application by those communities is required.

Description of Aid:

Flow of Funds: State to Local. Funds are disbursed as costs are incurred. For hardship projects receiving grant funds, loan proceeds are disbursed before grant.

Type of Aid: Low interest loans, zero interest financial hardship loans, and financial hardship grants.

Formula: Funds are distributed on a priority basis using health-based criteria. Communities facing financial hardship may be eligible for reduced-interest loans and grants, using health-based and financial criteria.

Matching Requirement: NA

Maintenance of Effort: NA

**NEW YORK STATE DRINKING WATER STATE
REVOLVING FUND (DWSRF) (cont.)**

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	65,000,000	NA	NA
SFY 05-06	65,000,000	NA	NA
SFY 06-07	32,937,000	NA	NA

Assistance Given:

	<u>Loans</u>	<u>Grants</u>
SFY 04-05	175,893,349	14,771,078
SFY 05-06	148,797,188	23,531,483

PIPELINE FOR JOBS STATE REVOLVING FUND PROGRAM (Jobs 2K)

Objective: Provides financial assistance for the planning, design and construction of eligible projects that are intended to create and improve or extend water supply facilities. This includes, but is not limited to, drinking water and water supply facilities.

Administering Agency: NYS Environmental Facilities Corporation (EFC)

Specific Program URL: www.nysefc.org/home/index.asp?page=24

NYS Object Code: NA

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1999, Chapter 624

Regulation: 21 NYCRR 2605

Program Contact:

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(518) 402-7461; 1-800-200-2200 (within NYS)
E-Mail: allen@nysefc.org, catizone@nysefc.org

Fiscal Contact:

NA

Eligibility: Municipalities and Businesses. Monies are appropriated for grants and loans for new drinking water and cold water supply facilities that aid economic development in NYS.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid is required. Grants and loans are awarded during a periodic call for projects. EFC publishes a program description in the [State Register](#) and [Environmental Notice Bulletin](#) during the call for projects.

Description of Aid:

Flow of Funds: State to Local/Business.

Type of Aid: Project grants and loans.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	7,500,000	NA
SFY 05-06	NA	6,250,000	NA
SFY 06-07	NA	5,000,000	NA

Amounts Awarded:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 04-05	NA	549,225	NA
SFY 05-06	NA	8,188,173	NA

SMALL BUSINESS ENVIRONMENTAL ASSISTANCE PROGRAM (SBEAP)

(Technical Assistance Program)

Objective: Provides technical assistance regarding the requirements of the Clean Air Act to small businesses.

Administering Agency: NYS Environmental Facilities Corporation (EFC)

Specific Program URL: www.nysefc.org/home/index.asp?page=20

NYS Object Code: NA

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Section 507 of the 1990 Clean Air Act Amendments

Regulation: NA

Program Contact:

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E-Mail: coyle@nysefc.org

Fiscal Contact:

NA

Eligibility: Small businesses with 100 or fewer employees.

Type of Program and Special Restrictions: Technical assistance program.

Action Required to Receive Aid: The SBEAP services are free and confidential.

Description of Aid:

Technical assistance to small business for compliance with air pollution laws and regulations including: permitting, control technologies, emission inventories, compliance plans, and process modifications.

TECHNICAL ADVISORY SERVICES (TAS)

(Technical Assistance Program)

Objective: Provides assistance to both public and private sector clients to help them improve environmental practices and to support management of their environmental projects. Services are generally provided to clients on a fee basis.

Administering Agency: NYS Environmental Facilities Corporation (EFC)

Specific Program URL: www.nysefc.org/home/index.asp?page=17

NYS Object Code: NA

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Generally: NY Public Authorities Law, Article 5, Title 12; NY Laws of 1978, Chapter 639; NY Laws of 1979, Chapter 282

Regulation: NA

Program Contact:

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Fiscal Contact:

NA

Eligibility: State Government Agencies and Authorities, Counties, Cities, Villages, School Districts, Public Authorities, Private Non-Profit Groups, NY Businesses.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: EFC provides technical advisory services on a fee basis.

Description of Aid:

TAS does not provide direct financial assistance ("aid"). See Objective for more information.

Comments: For more information about TAS and the types of services it could provide, please call the program contact.